

Sector 11

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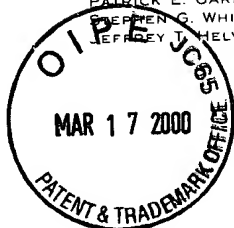
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March 17, 2000

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/449,631; Filed: November 30, 1999  
For: **Ordered Molecular Presentation of Antigens, Method of  
Preparation and Use**  
Inventors: Renner *et al.*  
Our Ref: 1700.0030002/JAG/SGW

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated January 6, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. Original Declaration for Patent Application, executed by the inventors;
4. An original executed Power of Attorney from Assignee;
5. Certificate Under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
6. One (1) return postcard; and

Assistant Commissioner for Patents  
March 17, 2000  
Page 2

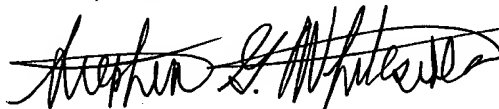
7. Our Check No. 26943 for \$65.00 to cover the Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

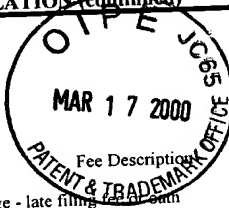


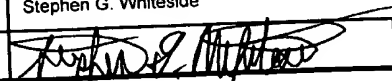
Stephen G. Whiteside  
Attorney for Applicants  
Registration No. 42,224

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> for FY 2000 <i>Patent fees are subject to annual revision.</i> <i>Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i> <i>See 37 C.F.R. §§ 1.27 and 1.28.</i>		<b>Complete if Known</b>	
		Application Number Filing Date First Named Inventor Examiner Name Group / Art Unit Attorney Docket Number	09/449,631 November 30, 1999 Wolfgang A. Renner To Be Assigned 1646 1700.0030002/JAG/SGW
TOTAL AMOUNT OF PAYMENT (\$ 65.00)			

<b>METHOD OF PAYMENT (check one)</b> 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		<b>FEE CALCULATION (continued)</b> 3. ADDITIONAL FEES	
<b>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*</b> *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.			
<b>FEE CALCULATION</b> 1. BASIC FILING FEE		Fee Description	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	334
114	150	214	75
SUBTOTAL (1) (\$)		0.00	
2. EXTRA CLAIM FEES		Fee from below	
Total Claims	- 20** =	X	=
Indep. Claims	- 3** =	X	=
Multiple Dependent			=
** or number previously paid, if greater; For Reissues, see below			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
103	18	203	9
102	78	202	39
104	260	204	130
108	78	209	39
110	18	210	9
SUBTOTAL (2) (\$)		0.00	
		Fee Description	
		105 130 205 65 Surcharge - late filing fee or cover sheet 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 380 216 190 Extension for reply within second month 117 870 217 435 Extension for reply within third month 118 1,360 218 680 Extension for reply within fourth month 128 1,850 228 925 Extension for reply within fifth month 119 300 219 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,210 241 605 Petition to revive - unintentional 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 215 Design issue fee 144 580 244 290 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 760 246 380 Filing a submission after final rejection (37 CFR 1.129(a)) 149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify): Other fee (specify): *Reduced by Basic Filing Fee Paid	
SUBTOTAL (3) (\$)		65.00	

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name Stephen G. Whiteside	Signature 	Reg. Number 42,224	Deposit Acct. User ID 19-0036
Date 3/17/00			

**WARNING:**  
 Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER 09/449,631

FILING/RECEIPT DATE 11/30/99

FIRST NAMED APPLICANT RENNER

ATTORNEY DOCKET NO./TITLE

09/449,631

11/30/99

RENNER

W 1700.0030002

0262/0108

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SUITE 600  
1100 NEW YORK AVENUE NW  
WASHINGTON DC 20005-3934

NOT ASSIGNED

1646

DATE MAILED:

01/06/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 65.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

03/30/2000 ASHLE 00000107 09449631 65.00 OP 01 C-205